



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of

Alexander T. FLORENCE, et al.

Serial No.: 09/786,091

Filed: February 28, 2001

For: CATIONIC COMPOUNDS AND THEIR USE AS MACRO MOLECULAR
CARRIERS

Date: July 18, 2002

Group Art Unit: --

Examiner: --

Asst. Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

In response to the Notification of Defective of Defective Response mailed June 18, 2002, submitted herewith is a paper copy of a PATENT IN Sequence Listing. It is respectfully requested that the paper copy of the Sequence Listing be entered into the specification.

Respectfully submitted,

Edward A. Meilman
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EAM:mgs
Enclosure



SEQUENCE LISTING

<110> The School of Pharmacy, University of London
<120> Cationic Compounds and their use as Macro Molecular Carriers
<130> HMJ02856WO
<140> not yet known
<141> 1999-09-23
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DUE: 18 JULY 2002

C/L: _____



UNITED STATES PATENT AND TRADEMARK OFFICE

ENTERED BY: HAM

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

ATTY: EAM

U.S. APPLICATION NUMBER NO.

09/786,091

FIRST NAMED APPLICANT

Alexander T Florence

ATTY. DOCKET NO.

P/365-345

INTERNATIONAL APPLICATION NO.

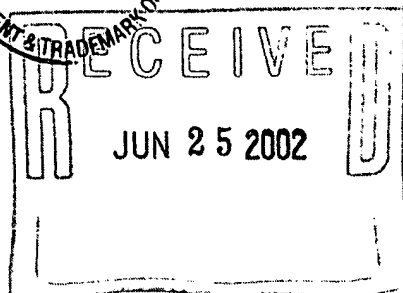
PCT/GB99/03189

I.A. FILING DATE

09/23/1999

PRIORITY DATE

09/23/1998

Ostrolenk Faber Gerb & Soffer
1180 Avenue of the Americas
New York, NY 10036-8403

CONFIRMATION NO. 8502

371 FORMALITIES LETTER



OC00000008263484

Date Mailed: 06/18/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

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CKSTEIN, SHAPIRO	
OFCS FILE NO.	P/365-345
Transfer to DSMO EAM	
PATENTS ORDERED EK	

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

• For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYAL LEWIS BALTIMORE

Telephone: (703) 305-3695

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/786,091	PCT/GB99/03189	P/365-345